

**ANALYSIS OF CLONAL NK CELL POPULATIONS USING SINGLE-CELL TRANSCRIPTOMICS DATA**

Ustiuzhanina M. O.<sup>a, b, c</sup>,  
Kovalenko E. I.<sup>a</sup>

<sup>a</sup> Shemyakin & Ovchinnikov Institute of Bioorganic Chemistry, Russian Academy of Sciences, Moscow, Russia.

<sup>b</sup> Center of Life Sciences, Skolkovo Institute of Science and Technology, Moscow, Russia.

<sup>c</sup> Pirogov Russian National Research Medical University, Moscow, Russia.

## АНАЛИЗ КЛОНАЛЬНЫХ ПОПУЛЯЦИЙ НК-КЛЕТОК НА ОСНОВЕ ДАННЫХ SINGLE-CELL ТРАНСКРИПТОМИКИ

Устюжанина М. О.<sup>1, 2, 3</sup>,  
Коваленко Е. И.<sup>1</sup>

<sup>1</sup> Федеральное государственное бюджетное учреждение науки Институт биоорганической химии им. академиков М.М. Шемякина и Ю.А. Овчинникова Российской академии наук, Москва, Россия.

<sup>2</sup> Сколковский институт науки и технологий, Москва, Россия.

<sup>3</sup> Российский Национальный Исследовательский Медицинский Университет им. Н.И. Пирогова, Москва, Россия.

## Abstract

**Background.** Natural killer (NK) cells represent a critical component of antiviral immunity, demonstrating remarkable adaptability during infections such as human cytomegalovirus (hCMV) and COVID-19. Recent advances in single-cell transcriptomics have uncovered the existence of clonally expanded NK cell populations with distinct functional profiles, blurring the traditional boundaries between innate and adaptive immunity. However, the functional heterogeneity and immunological significance of these clones remain incompletely understood.

**Aim.** This study aimed to dissect clonal NK cell heterogeneity using published scRNA-seq datasets from hCMV-seropositive, seronegative, and COVID-19 patients, focusing on cluster-specific gene expression patterns.

**Methods.** Our computational pipeline employed Seurat-based integration and high-resolution clustering of datasets from hCMV-seropositive ( $n=5$ ) and seronegative ( $n=2$ ) donors, along with COVID-19 patients ( $n=2$ ). We analyzed datasets using Seurat 5 in R. Quality-controlled data were normalized (SCTransform), integrated (batch-corrected), and clustered (UMAP). Differential gene expression (Wilcoxon test,  $\log_{2}FC > 0.25$ ,  $p\text{-adj} < 0.05$ ) and annotation were performed.

**Results.** In hCMV-seropositive individuals, we identified 12 transcriptionally distinct NK cell clusters exhibiting KLRC2 (NKG2C)-dependent organization, with specific clones showing either enhanced cytotoxic potential (marked by GZMB/GZMA upregulation) or unique inhibitory receptor profiles (variable KIR expression patterns). The hCMV-seronegative cohort displayed a simpler clonal structure with 9 clusters showing reduced KIR diversity but maintained distinct effector gene signatures. Analysis of COVID-19 patients revealed divergent clonal patterns: one patient showed reduced KLRC2 variability with prominent KLRC1 (NKG2A) expression, while another exhibited KIR heterogeneity without KLRC2 variation.

**Conclusion.** Our analysis reveals distinct clonal NK cell populations in hCMV and COVID-19 contexts, characterized by divergent expression of activating and inhibitory receptors. These findings demonstrate infection-specific dynamics of clonal NK cell populations, highlighting their adaptive potential through differential receptor expression in antiviral responses.

**Keywords:** NK cells; scRNaseq; hCMV; COVID-19; NKG2; KIR

## Резюме

**Введение.** Естественные киллеры (NK-клетки) являются ключевым компонентом противовирусного иммунитета, демонстрируя исключительную адаптивность при таких инфекциях, как цитомегаловирус человека (hCMV) и COVID-19. Современные методы single-cell транскриптомики выявили существование клонально расширенных популяций NK-клеток с уникальными функциональными профилями, что стирает традиционные границы между врожденным и адаптивным иммунитетом. Однако функциональная гетерогенность и иммунологическая значимость этих клонов остаются недостаточно изученными.

**Цель.** Данное исследование направлено на анализ клональной гетерогенности NK-клеток с использованием опубликованных данных scRNA-seq от hCMV-серопозитивных, серонегативных доноров и пациентов с COVID-19, с акцентом на кластер-специфические паттерны экспрессии генов.

**Методы.** В работе применялся вычислительный pipeline на основе Seurat для интеграции и кластеризации данных высокого разрешения от hCMV-серопозитивных ( $n=5$ ) и серонегативных ( $n=2$ ) доноров, а также пациентов с COVID-19 ( $n=2$ ). Данные анализировали в Seurat 5 (R) после контроля качества, нормализации (SCTransform), интеграции (коррекция batch-эффектов) и кластеризации (UMAP). Проводили анализ дифференциальной экспрессии генов (критерий: тест Вилкоксона,  $\log_{2}FC > 0.25$ ,  $p\text{-adj} < 0.05$ ) с последующей аннотацией.

**Результаты.** У hCMV-серопозитивных доноров выявлено 12 транскрипционно различных кластеров NK-клеток с KLRC2 (NKG2C)-зависимой организацией, где отдельные клоны демонстрировали либо повышенный цитотоксический потенциал (активация GZMB/GZMA), либо уникальные профили ингибиторных рецепторов (вариабельная экспрессия KIR). В серонегативной группе обнаружена более простая клональная структура (9 кластеров) с уменьшенным разнообразием KIR, но сохранением характерных эффекторных генетических сигнатур. У пациентов с COVID-19 выявлены различные клональные паттерны: у одного наблюдалась сниженная вариабельность KLRC2 при выраженной экспрессии KLRC1 (NKG2A), тогда как у другого отмечалась гетерогенность KIR без вариаций KLRC2.

**Заключение.** Наше исследование демонстрирует существование различных клональных популяций NK-клеток при hCMV-инфекции и COVID-19, характеризующихся дивергентной экспрессией активирующих и ингибиторных рецепторов. Полученные данные раскрывают инфекционно-специфическую динамику клональных популяций NK-клеток, подчеркивая их адаптивный потенциал через дифференциальную экспрессию рецепторов в противовирусных ответах.

**Ключевые слова:** NK-клетки; scRNaseq; hCMV; COVID-19; NKG2; KIR.

1           **1 Introduction**

2           Natural killer (NK) cells play a critical role in antiviral immune responses,  
3 including those against human cytomegalovirus (hCMV) [3,7] infection and severe  
4 acute respiratory syndrome (COVID-19) [5,8-10]. In recent years, single-cell RNA  
5 sequencing (scRNA-seq) has revealed the heterogeneity of NK cells, including the  
6 existence of clonally expanded populations with unique transcriptional profiles [6].

7           During CMV infection in both mice and humans, memory NK cell  
8 populations emerge through clonal expansion. In mice, the clonal nature of memory  
9 NK cells was confirmed using retroviral barcoding with fluorescent labeling to track  
10 individual Ly49H<sup>+</sup> NK cells. After transfer into immunodeficient recipients  
11 followed by mCMV infection, these labeled Ly49H<sup>+</sup> NK cells underwent extensive  
12 proliferation, with some clones exceeding 10,000 cells [2].

13           In humans, clonal expansion of adaptive NKG2C<sup>+</sup> NK cells were indicated by  
14 a distinct pattern of activating KIR receptors associated with self-antigen recognition  
15 [1]. Further insights were obtained using mitochondrial DNA mutation tracking, a  
16 method previously employed for clonal lineage analysis [4]. Notably, Rückert et al.  
17 demonstrated that many large clusters identified by scATAC-Seq represent clonal  
18 NK cells [6]. These findings highlight the ability of NK cells to form clonal  
19 populations, aligning them more closely with adaptive immune cells and  
20 underscoring their role in long-term immune defense.

21           However, the detailed characterization of these clones, including their  
22 functional properties and role in immune responses, remains poorly understood. In  
23 this study, we analyzed clonal NK cell populations using published scRNA-seq  
24 datasets. We identified and compared NK cell clusters in hCMV-seropositive and  
25 seronegative donors, as well as in COVID-19 patients. Key differentially expressed  
26 genes associated with NK cell function were also determined.

27           **2 Materials and methods**

28           1. Data Sources

29           The analysis was performed using publicly available single-cell RNA  
30 sequencing datasets obtained from the Gene Expression Omnibus database under  
31 accession numbers GSE197037 and GSE184329.

32           2. Initial data processing

33           Initial data processing involved standard quality control measures to filter out  
34 low-quality cells, including those with abnormally high mitochondrial gene content  
35 or an insufficient number of detected genes. The data were then normalized using  
36 the SCTransform method implemented in Seurat 5. To account for technical  
37 variation between different samples and donors, we performed dataset integration  
38 function in Seurat 5, which effectively corrects for batch effects while preserving  
39 biologically relevant variation.

40           3. Computational Analysis

41           For dimensionality reduction and visualization, we applied the UMAP  
42 algorithm with clustering resolutions set to 1.5 for hCMV datasets and 1.0 for  
43 COVID-19 datasets to optimally identify distinct cell populations. Differential gene  
44 expression analysis was conducted using the Wilcoxon rank-sum test with

45 Benjamini-Hochberg multiple testing correction, applying thresholds of absolute  
46 log<sub>2</sub> fold change greater than 0.25 and adjusted p-values below 0.05 for statistical  
47 significance. Identified genes were subsequently annotated using Gene Ontology.

48       4. Software Implementation

49 All analyses were conducted using R version 4.4.0, primarily utilizing the  
50 Seurat 5 package for single-cell data processing and analysis. Data visualization was  
51 achieved through ggplot2, while data manipulation and organization were performed  
52 using dplyr.

53       **3 Results and Discussion**

54        1. Analysis of Clonal NK Cells in hCMV-Seropositive and  
55           Seronegative Donors

56 The initial phase of our investigation focused on characterizing clonal NK cell  
57 populations using publicly available single-cell transcriptomics (scRNA-seq) data.  
58 We processed with the data from Rückert et al. [6] that included NK cell profiles  
59 from five hCMV-seropositive and two hCMV-seronegative donors. The original  
60 study had examined balanced mixtures of NKG2C+ and NKG2C- NK cell  
61 subpopulations (CD3-CD14-CD19-CD7+) at a 1:1 ratio from each donor.

62 While following the general analytical approach of the original study, we  
63 introduced modifications including enhanced UMAP resolution parameters to  
64 improve detection of clonal structures. This refined analysis identified 12 distinct  
65 NK cell clusters in hCMV-seropositive donors (Figure 1A, B), which were  
66 consistently present across all individuals but showed variable proportional  
67 representation. The hCMV-seronegative cohort exhibited 9 clusters with similar  
68 inter-individual variability (Figure 1C, D).

69 Focusing on clonal NK cell characterization, we particularly examined  
70 functionally relevant genes. In seropositive donors, UMAP separation was primarily  
71 driven by KLRC2 expression (encoding NKG2C) (Figure 1E). However, the 12  
72 clusters demonstrated additional transcriptional differences. For instance, while both  
73 Cluster 2 and Cluster 0 showed low KLRC2 expression, Cluster 2 displayed elevated  
74 CD38 and CD160 levels, compared to Cluster 0. The upregulation of those genes  
75 highlights the higher activation stage of those NK cells. Additionally, Cluster 2 has  
76 shown the downregulation of some inhibitory KIRs (KIR2DL3 and KIR3DL1)  
77 (Figure 1E). That may suggest that such clusters of NK cells expressing different  
78 KIRs receptors may belong to originally different clonal NK cells.

79 Among KLRC2-high clusters, Cluster 3 exhibited markedly increased  
80 expression of cytotoxic effector molecules GZMB and GZMA along with KLRG1  
81 compared to Cluster 1 (Figure 1E). Thus, NK cells from Cluster 3 are probably more  
82 cytotoxically active.

83 Simmilar gene expression patterns were observed in seronegative donors  
84 (Figure 1F). While hCMV-seropositive donors showed KLRC2-driven clustering,  
85 seronegative donors lacked this pattern and had reduced KIR expression (Figure 1F).  
86 However, all clusters retained distinct NK cell-associated gene signatures. (Figure  
87 1F). Nevertheless, each cluster displayed a unique combination of NK cell-  
88 associated genes.

89           2. *COVID-19 Patient NK Cell Clonal Analysis*

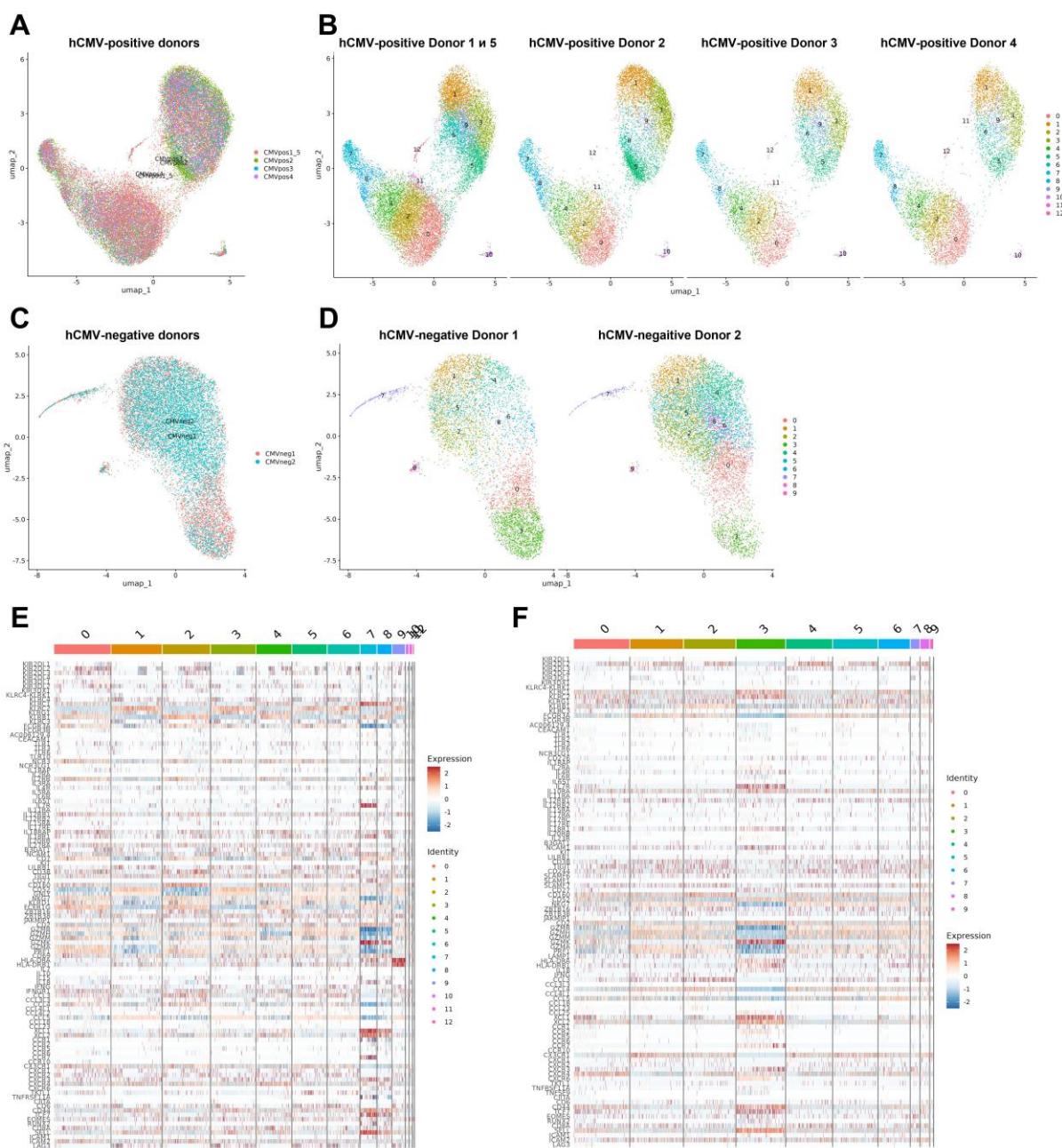
90       We subsequently analyzed scRNA-seq data from Witkowski et al. [11] on NK  
91       cell in COVID-19 patients, selecting two patients with the highest cell yields. After  
92       removing cells with elevated mitochondrial gene expression and erythrocyte  
93       contaminants, high-resolution UMAP projection revealed 13 clusters in the data  
94       from first donor and 11 from the second (Figure 2A, B).

95       We performed comparable differential expression analysis of NK cell-  
96       associated genes across these identified clusters (Figure 2C, D). For Patient 1, there  
97       was less variability in *KLRC2* gene expression compared to hCMV-seropositive  
98       donors, while the expression of *KLRC1* (encoding the inhibitory NKG2A receptor)  
99       was more pronounced (Figure 2C). Additionally, fewer KIRs were variable  
100      compared to hCMV-seropositive donors (Figure 2C). Moreover, Patient 2 did not  
101      show any variability in *KLRC1* expression, while exhibiting high expression of  
102      KIRs, which varied among the clusters (Figure 2D).

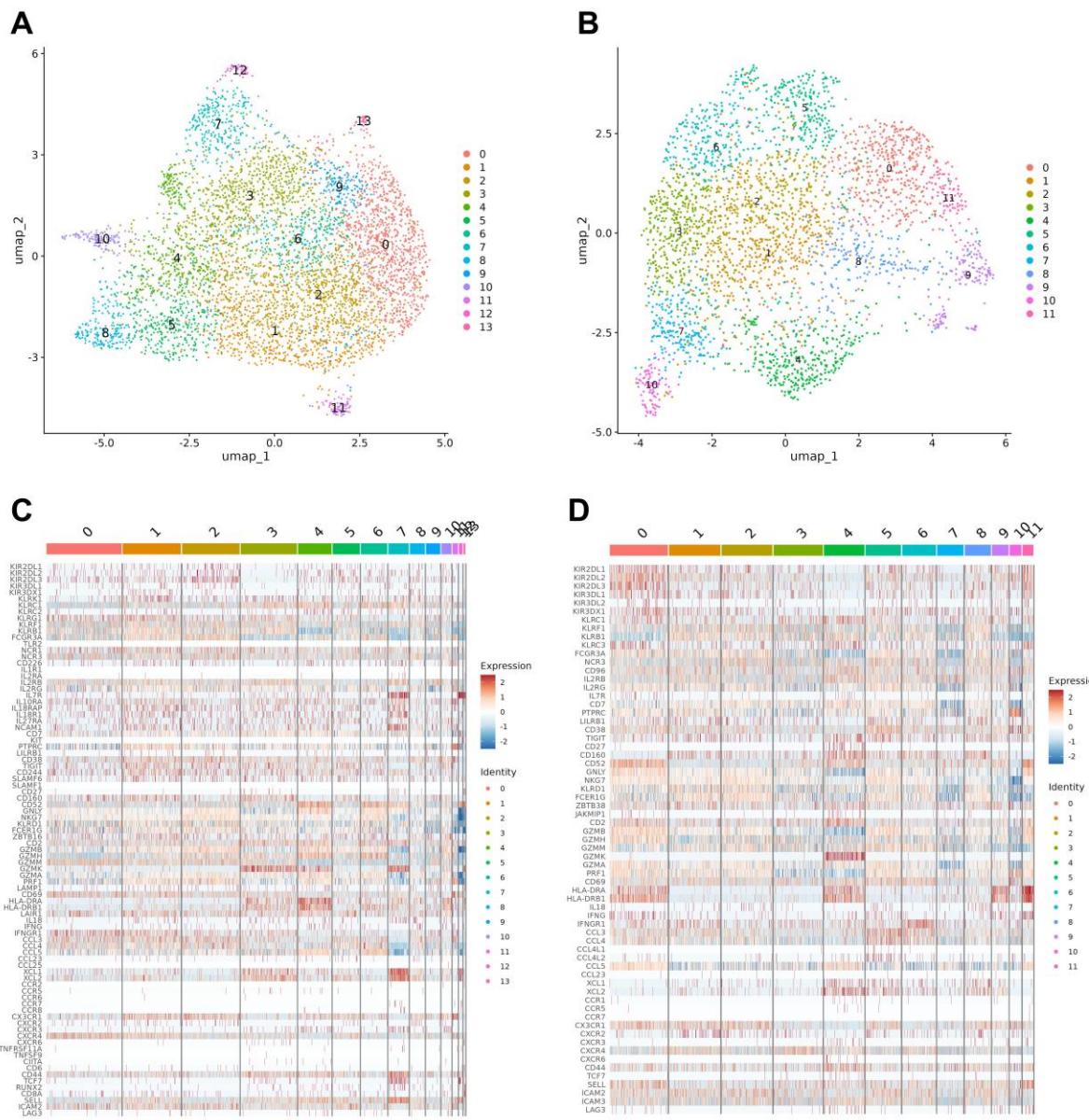
103      *Acknowledgments.* The study was supported by the Russian Science  
104      Foundation grant № 24-75-10136.

## РИСУНКИ

**Figure 1.** Single-cell RNA sequencing analysis of 5 hCMV-seropositive and 2 hCMV-seronegative donors from [6]. (A) UMAP projection of NK cells from 5 hCMV-seropositive donors showing donor-specific groupings. (B) UMAP projection of NK cells from 2 hCMV-seronegative donors displaying clusters for each donor in the integrated dataset. (C) UMAP projection of NK cells from 2 hCMV-seronegative donors illustrating donor groupings. (D) UMAP projection of NK cells from 5 hCMV-seropositive donors showing clusters for each donor in the integrated dataset. Heatmaps depict normalized expression values of NK cell-associated genes that were differentially expressed in at least one cluster for (E) 5 hCMV-seropositive donors and (F) 2 hCMV-seronegative donors.



**Figure 2.** Single-cell RNA sequencing analysis of NK cells from two patients from [11]. UMAP projections showing NK cell clusters for (A) patient 1 and (B) patient 2. Heatmaps display normalized expression values of NK cell-associated genes differentially expressed in at least one cluster for (C) patient 1 and (D) patient 2.



## ТИТУЛЬНЫЙ ЛИСТ\_МЕТАДАННЫЕ

### Блок 1. Информация об авторе ответственном за переписку

**Ustiuzhanina M.O.**, PhD student, Center of Life Sciences, Skolkovo Institute of Science and Technology, 121205 Moscow, Russia, junior researcher of the Shemyakin-Ovchinnikov Institute of Bioorganic Chemistry of the Russian Academy of Science, 16/10 Miklukho-Maklaya str., 117997, Moscow, junior researcher of the Pirogov Russian National Research Medical University, Moscow, Russia, 117513; telephone: 89104080757;

e-mail: mashaust1397@gmail.com

**Устюжанина Мария Олеговна**, аспирант Сколковский институт науки и технологий, 121205, Большой бульвар д. 30, стр. 1, м.н.с, Федеральное государственное бюджетное учреждение науки Институт биоорганической химии им. академиков М.М. Шемякина и Ю.А. Овчинникова Российской академии наук, 117997, Российская Федерация, Москва, ГСП-7, улица Миклухо-Маклая, дом 16/10, м.н.с, ФГАОУ ВО "Российский Национальный Исследовательский Медицинский Университет им. Н.И. Пирогова", 117513, ул. Островитянова д. 1;

телефон: 89104080757;

e-mail: mashaust1397@gmail.com

### Блок 2. Информация об авторах

**Kovalenko E.I.**, PhD, Senior Researcher Shemyakin-Ovchinnikov Institute of Bioorganic Chemistry of the Russian Academy of Science, 16/10 Miklukho-Maklaya str., 117997;

e-mail: lenkovalen@mail.ru

**Коваленко Елена Ивановна**, к.б.н., с.н.с. Федеральное государственное бюджетное учреждение науки Институт биоорганической химии им. академиков М.М. Шемякина и Ю.А. Овчинникова Российской академии наук, 117997, Российская Федерация, Москва, ГСП-7, улица Миклухо-Маклая, дом 16/10;

e-mail: lenkovalen@mail.ru

**Блок 3. Метаданные статьи**

ANALYSIS OF CLONAL NK CELL POPULATIONS USING SINGLE-CELL  
TRANSCRIPTOMICS DATA

АНАЛИЗ КЛОНАЛЬНЫХ ПОПУЛЯЦИЙ НК-КЛЕТОК НА ОСНОВЕ  
ДАННЫХ SINGLE-CELL ТРАНСКРИПТОМИКИ

**Сокращенное название статьи для верхнего колонтитула:**

SCRNASEQ NK-КЛЕТОК

SCRNASEQ OF NK CELLS

**Keywords:** NK cells; scRNAseq; hCMV; COVID-19; NKG2; KIR.

**Ключевые слова:** NK-клетки; scRNAseq; hCMV; COVID-19; NKG2; KIR.

Школа Клинической Иммунологии "Сочи-2025".

Количество страниц текста – 3,

Количество таблиц – 0,

Количество рисунков – 2.

28.04.2025

## СПИСОК ЛИТЕРАТУРЫ

Порядковый номер ссылки	Авторы, название публикации и источника, где она опубликована, выходные данные	ФИО, название публикации и источника на английском	Полный интернет-адрес (URL) цитируемой статьи или ее doi.
1	Béziat V, Liu LL, Malmberg JA, Ivarsson MA, Sohlberg E, Björklund AT, Retière C, Sverremark-Ekström E, Traherne J, Ljungman P, Schaffer M, Price DA, Trowsdale J, Michaëlsson J, Ljunggren HG, Malmberg KJ. 2013. NK cell responses to cytomegalovirus infection lead to stable imprints in the human KIR repertoire and involve activating KIRs. <i>Blood</i> . 121,2678–2688.		DOI: 10.1182/BLOOD-2012-10-459545
2	Grassmann S, Pachmayr LO, Leube J, Mihatsch L, Andrae I, Flommersfeld S, Oduro J, Cicin-Sain L, Schiemann M, Flossdorf M, Buchholz VR. 2019. Distinct Surface Expression of Activating Receptor Ly49H Drives Differential Expansion of NK Cell Clones upon Murine Cytomegalovirus Infection. <i>Immunity</i> . 50,1391-1400.e4.		DOI: 10.1016/j.immuni.2019.04.015
3	Gumá M, Budt M, Sáez A, Brckalo T, Hengel H, Angulo A, López-Botet M. Expansion of CD94/NKG2C+ NK cells in response to human cytomegalovirus-infected fibroblasts. <i>Blood</i> . 2006 May 1;107(9):3624-31.		DOI: 10.1182/blood-2005-09-3682
4	Ludwig LS, Lareau CA, Ulirsch JC, Christian E, Muus C, Li LH, Pelka K, Ge W, Oren Y, Brack A, Law T, Rodman C, Chen JH, Boland GM, Hacohen N, Rozenblatt-Rosen O, Aryee MJ, Buenrostro JD, Regev A, Sankaran VG. 2019. Lineage Tracing in		DOI: 10.1016/j.cell.2019.01.022

	Humans Enabled by Mitochondrial Mutations and Single-Cell Genomics. <i>Cell.</i> 176,1325-1339.e22.		
5	Maucourant C, Filipovic I, Ponzetta A, Aleman S, Cornillet M, Hertwig L, Strunz B, Lentini A, Reinius B, Brownlie D, Cuapio A, Ask EH, Hull RM, Haroun-Izquierdo A, Schaffer M, Klingström J, Folkesson E, Buggert M, Sandberg JK, Eriksson LI, Rooyackers O, Ljunggren HG, Malmberg KJ, Michaëlsson J, Marquardt N, Hammer Q, Strålin K, Björkström NK. 2020. Natural killer cell immunotypes related to COVID-19 disease severity. <i>Sci Immunol.</i> 5,6832.		DOI: 10.1126/SCIIMMUNOL.AB D6832
6	Rückert T, Lareau CA, Mashreghi MF, Ludwig LS, Romagnani C. 2022. Clonal expansion and epigenetic inheritance of long-lasting NK cell memory. <i>Nature Immunology</i> 2022 23:11. 23,1551–1563.		DOI: 10.1038/s41590-022-01327-7
7	Ustiuzhanina MO, Streltsova MA, Timofeev ND, Kryukov MA, Chudakov DM, Kovalenko EI. 2024. Autologous T-Cell-Free Antigen Presentation System Unveils hCMV-Specific NK Cell Response. <i>Cells</i> 2024, Vol 13, Page 530. 13,530.		DOI: 10.3390/CELLS13060530
8	Ustiuzhanina MO, Vavilova JD, Boyko AA, Streltsova MA, Kust SA, Kanevskiy LM, Sapozhnikov AM, Iskhakov RN, Gubernatorova EO, Drutskaya MS, Bychinin M V., Zhukova OA, Novikova ON, Sotnikova AG, Yusubalieva GM, Baklaushev VP, Kovalenko EI. 2023. Coordinated Loss and Acquisition of NK Cell Surface Markers Accompanied by Generalized Cytokine Dysregulation in COVID-19. <i>International Journal of Molecular Sciences</i> 2023, Vol 24, Page 1996. 24,1996.		DOI: 10.3390/IJMS24031996
9	Ustiuzhanina MO, Boyko AA, Vavilova JD, Siniavin AE, Streltsova MA, Astrakhantseva I V., Drutskaya MS, Chudakov DM,		DOI: 10.1002/JMV.70057

	Kovalenko EI. 2024. The Antigen-Specific Response of NK Cells to SARS-CoV-2 Correlates With KIR2DS4 Expression. <i>J Med Virol.</i> 96.		
10	Varchetta S, Mele D, Oliviero B, Mantovani S, Ludovisi S, Cerino A, Bruno R, Castelli A, Mosconi M, Vecchia M, Roda S, Sachs M, Klerys C, Mondelli MU. 2021. Unique immunological profile in patients with COVID-19. <i>Cell Mol Immunol.</i> 18,604.		DOI: 10.1038/S41423-020-00557-9
11	Witkowski M, Tizian C, Ferreira-Gomes M, Niemeyer D, Jones TC, Heinrich F, Frischbutter S, Angermair S, Hohnstein T, Mattiola I, Nawrath P, Mc Ewen S, Zocche S, Viviano E, Heinz GA, Maurer M, Kölsch U, Chua RL, Aschman T, Meisel C, Radke J, Sawitzki B, Roehmel J, Allers K, Moos V, Schneider T, Hanitsch L, Mall MA, Conrad C, Radbruch H, Duerr CU, Trapani JA, Marcenaro E, Kallinich T, Corman VM, Kurth F, Sander LE, Drosten C, Treskatsch S, Durek P, Kruglov A, Radbruch A, Mashreghi MF, Diefenbach A. 2021. Untimely TGF $\beta$ responses in COVID-19 limit antiviral functions of NK cells. <i>Nature.</i> 600,295–301.		DOI: 10.1038/S41586-021-04142-6